

IN-PERSON

NEW ACCOUNT DOCUMENTATION AND COMPLIANCE

WHEN

October 8, 2024
9:00 a.m. - 4:00 p.m.

WHERE

Arkansas Bankers Association
1220 West Third Street
Little Rock, AR 72201

Managing risk is the #1 priority for all financial institutions, starting at the new account desk. If a criminal cannot open a bank account, they cannot negotiate a stolen check, embezzle from their employer, or steal from your organization and community. Well-trained new account personnel and universal bankers who recognize and stop attempted fraudulent activity are the first lines of defense in protecting a financial institution from fraudsters. Unfortunately, new account personnel are often trained "on the job," which results in an environment of potential vulnerability and unnecessary losses. Trust and business accounts continue to grow in popularity and complexity - LLCs owned by Revocable Trusts and businesses owned by other businesses... the need for ongoing compliance training is paramount to maintain diligence and update processes and procedures.

This program is one of the country's most comprehensive seminars on opening deposit accounts. The session answers many of the complicated questions customers and employees ask. The 200+ page detailed manual, included in the registration and customized to your state law, has become an invaluable resource for banks across the state. These workshops are highly interactive. Come prepared to get your questions answered!

What You Will Learn:

- Six Essential Requirements for Opening Any New Account
- Understanding Signature Card and Account Agreement Contracts
- FinCEN – Beneficial Owner Due Diligence Rules

AND MORE!

ABOUT THE INSTRUCTOR



MATT DICKINSON is an 18+ year banker and leading retirement account consulting and education provider, acquired Training Resources Consulting, LLC, a well-known and highly respected name in the financial industry recognized as one of the country's foremost experts in New Accounts Documentation and Compliance education. After five decades in the financial industry, Suzie Jones retired effective January 1, 2024. Matt will continue to use the new account training program and materials Suzie developed, known for its customization to state law and one of the industry's most outstanding and comprehensive resources. Matt is known for his approachability, sense of humor, and passion for providing knowledge to bankers so they can serve the Bank's customers confidently while managing the risk. He partners nationally to provide new account training for 16 State Bank Associations. Matt enjoys family time with his wife and four daughters, cooking, and has completed three full Ironman triathlons; with the dedication and patience it takes to complete this task, he wants his seminar participants to know they will receive the same patience and dedication.

WHO SHOULD ATTEND?

New account representatives, CSRs, new account supervisors, and officers whose responsibilities include opening or managing new accounts. Excellent for supervisors or officers who have recently assumed the responsibility of the new account area but perhaps have never worked in new accounts. Internal Auditors, Quality Control, Trainers, Branch Managers, and Compliance Officers will find the information exceptionally beneficial.



Arkansas Bankers Association | Professional Development Department

1220 West Third Street | Little Rock, Arkansas 72201 | (501) 376-3741 | www.arkbankers.org

REGISTRATION FEES

ABA Members:

Early Registration Price: \$340; After September 10: \$390

Non-Members:

Early Registration Price: \$680; After September 10: \$780

HOTEL INFORMATION

This event will be held at the Arkansas Bankers Association. Should you need a hotel room reservation, a Local Negotiated Rate (LNR) has been reserved for your convenience at the following hotels. The LNR is based on hotel availability, and you are responsible for all hotel room charges.

Hilton Garden Inn Downtown | (501) 244-0044

Group Rate: \$148 | Corporate Account Code: 3197385

Downtown Marriott Little Rock | (501) 906-4000

Group Rate: \$169 | Group Code: A5698

CANCELLATION

Full registration fees will be refunded if a cancellation is received before September 24. No refunds will be given for cancellations made after September 24. All cancellations must be submitted in written format prior to the event.

MORE INFORMATION

- Registration will begin at 8:30 a.m. on October 8.
- Dress for the seminar is business casual. To ensure your comfort, please bring a jacket or sweater.
- If you have any special dietary requests, please contact the ABA Professional Development Department at (501) 376-3741.
- By attending an ABA event, you are consenting to the ABA taking and using your photograph and name for use in its marketing or promotional materials, news publications or website.

NEW ACCOUNT DOCUMENTATION AND COMPLIANCE

OCTOBER 8, 2024

Bank/Company Name _____ Phone _____

Registrant Name _____ Title _____ E-mail _____

Registrant Name _____ Title _____ E-mail _____

Address _____ City _____ State _____ Zip _____

Registration Contact _____ Phone _____ E-mail _____

PAYMENT INFORMATION

Charge my:

Mastercard

Visa

American Express

Discover

Account Number _____

Name on Card _____

Expiration Date _____ CSC Number _____

(3-digit security code on back of your card)

Billing Zip Code _____

If you would prefer to pay via credit card over the phone, please call the ABA at (501) 376-3741. Please do not email your credit card information.

Note: Non-Members must pay with a credit card or check prior to the event.

Email: abigail.johnson@arkbankers.org

Fax: (501) 376-9243

Mail: Check Payable to:
Arkansas Bankers Association
Professional Development Department
1220 West Third Street
Little Rock, AR 72201

ABA USE ONLY:

Registered: _____

Amount: _____

Received: _____